1 Title:

2 Promising effects of duck vaccination against highly pathogenic avian influenza, France

3 2023-24

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15 Abstract

The ongoing panzootic of highly pathogenic avian influenza (HPAI) H5 clade 2.3.4.4b 16 17 has caused widespread poultry mortality and raised concerns about zoonotic pandemics and wildlife conservation. France recently adopted a preventive vaccination strategy, vaccinating 18 domestic ducks with inactivated and mRNA vaccines. This study evaluates the impact of this 19 campaign on reducing HPAI H5 outbreaks. Using predictive modelling based on previous 20 21 outbreak data, the expected number of outbreaks in 2023-24 without vaccination was 22 significantly higher than the observed cases, indicating a 95.9% reduction attributable to vaccination. These findings suggest that vaccination effectively mitigated the HPAI H5 23

24 outbreak in France.

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27 Text

Highly pathogenic avian influenza (HPAI) H5 viruses of clade 2.3.4.4b continue to 28 affect diverse regions and species worldwide. Since 2020, this ongoing HPAI panzootic has 29 reached an unprecedented scale, leading to the death or culling of over 130 million poultry 30 across 67 countries, substantially threatening food security¹. Concerns about conservation 31 efforts and zoonotic pandemics are heightened by mass death events reported in multiple wild 32 bird species and by recent spillover events to wild and domestic mammals, affecting more 33 than 48 species across 26 countries². 34 While most countries rely on large-scale poultry depopulation and poultry movement 35

36 restrictions to control HPAI H5, France has recently adopted a complementary preventive

vaccination strategy³. Since October 2023, all domestic ducks in the production stage are 37

- being vaccinated with the inactivated vaccine Volvac B.E.S.T. AI + ND (Boehringer 38
- Ingelheim), receiving two doses at 10 and 28 days, and a third dose at 56 days in high-risk 39
- zones and high-risk winter periods⁴. Since May 2024, the RESPONS AI H5 vaccine (Ceva 40
- Animal Health), a self-amplifying mRNA vaccine, was also added to the vaccination 41
- 42 campaign. Vaccination for breeder duck flocks remains optional. As of July 1, 2024, more
- than 35 million ducks have received two vaccine doses and 1.5 million have received all three 43 vaccine doses⁴. 44
- The outcomes of the vaccination campaign seem promising. In 2023-24, HPAI H5 45 infections have been reported in only 10 poultry farms, representing a substantial reduction
- 46 47 from the 1,374 outbreaks detected in 2021-22 and 396 outbreaks in 2022-23 (Figure 1A). In
- contrast, outbreaks continued in non-vaccinating European countries (Figure 1B and 1C).
- 48 Despite these encouraging results, the question remains whether the significant reduction in 49
- outbreaks in France is attributable to the vaccination campaign or to a generally lower level of 50
- 51 virus circulation in Europe with a reduced number of detections during this epidemiological
- season (Figure 1B and 1C). 52

We addressed this question by predicting the expected number of poultry farm 53 outbreaks in France in 2023-24 if vaccination had not been implemented and compared it to 54 55 the actual number of outbreaks. Our hypothesis is that the number of poultry farm outbreaks 56 and/or wild bird cases in Europe prior to the first poultry farm outbreak in France can serve as a proxy for predicting the number of outbreaks in France. This is based on migratory wild 57 waterbirds likely being significant vectors of virus spillover into poultry during the fall 58 migration from north to south, but also infected poultry serving as indicators of the presence 59 60 of infected migratory wild waterbirds^{5–7}.

Surveillance data on HPAI H5 clade 2.3.4.4b detections in Europe (2016-24) were 61 extracted from the FAO's global animal health database (https://empres-i.apps.fao.org) 62 (Figure 1A-C). For each epidemiological season (from September 1 to August 31 of the 63 following year), we retrieved the number of poultry farm outbreaks in France. We defined 24 64 potential predictors based on combinations of time windows (one, two or three months prior 65 to the first reported poultry farm outbreak in France), geographical regions (region 1: Norway, 66 Sweden, Finland; region 2: Germany, Denmark, The Netherlands, Belgium; region 3: United 67 Kingdom, Ireland; region 4: Bulgaria, Romania, Hungary, Poland, Czech Republic) and 68 infected host types (wild bird or poultry farm). Using quasi-Poisson regressions, we modelled 69 the number of poultry farm outbreaks in France for each epidemiological season in 2016-23 70 (period without vaccination) in relation to each predictor, and selected those significantly 71 associated with outbreak numbers (p-value < 0.05) and strong model fit (pseudo-R² > 0.80). 72 These predictors were then used to generate prediction intervals for the expected number of 73 outbreaks in France in 2023-24. 74

Our findings suggest a significant and positive association between the number of 75 poultry farm outbreaks in France and the number of infected wild birds reported in region 1 76 (Norway, Sweden, Finland) one month prior to the first reported outbreak in France. In the 77 absence of vaccination, the expected number of outbreaks in France in 2023-24 was estimated 78 at 487 (95% prediction interval (PI): 273 – 701) (Figure 1D), significantly higher than the 79 observed number (n = 10). This discrepancy suggests that the vaccination campaign was 80

- effective in reducing the number of outbreaks in France in 2023-24, with a relative reduction
 of the outbreak size of 95.9% (95% PI: 92.7 97.2).
- 83 While other measures, implemented since 2017, such as enhanced biosecurity, may
- have also contributed to the observed trends, these measures did not change substantially in
- 85 2023-24 and are thus not expected to lead to such a sudden and drastic change in the number
- of outbreaks. Our results are supported by similar analyses in heavily affected, non-
- vaccinating European countries, where the predicted number of poultry farm outbreaks aligned
- 88 with the observed outbreaks (**Figure 1E**). In conclusion, despite the practical challenges
- associated with vaccination, including high costs, logistic complexities and the intensive
- 90 surveillance required, the preventive vaccination campaign has shown promising effects by
- 91 preventing massive HPAI H5 outbreaks in French poultry in 2023-24.
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Figure 1. A) Temporal distribution of highly pathogenic avian influenza (HPAI) H5 (clade 95 2.3.4.4b) poultry farm outbreaks (orange) and wild bird cases (blue) in France. The start of the 96 vaccination campaign (October 1st, 2023) is displayed with a vertical red line. **B**) Temporal 97 distribution of HPAI H5 (clade 2.3.4.4b) poultry farm outbreaks in four regions: region 1 98 (red): Norway (NW), Sweden (SW), Finland (FN); region 2 (green): Germany (GE), Denmark 99 (DK), The Netherlands (NL), Belgium (BE); region 3 (yellow): United Kingdom (UK), 100 101 Ireland (IR); region 4 (blue): Bulgaria (BG), Romania (RO), Hungary (HU), Poland (PO), Czech Republic (CZ). C) Temporal distribution of HPAI H5 (clade 2.3.4.4b) wild bird cases 102 in four regions: region 1 (red): Norway (NW), Sweden (SW), Finland (FN); region 2 (green): 103 Germany (GE), Denmark (DK), The Netherlands (NL), Belgium (BE); region 3 (yellow): 104 United Kingdom (UK), Ireland (IR); region 4 (blue): Bulgaria (BG), Romania (RO), Hungary 105 106 (HU), Poland (PO), Czech Republic (CZ). D) Predicted number of HPAI H5 poultry farm outbreaks in France as a function of the predictor variable: number of HPAI H5 wild bird 107

- 108 cases in region 1: Norway (NW), Sweden (SW), Finland (FN). Black dots represent the
- 109 observed number of French outbreaks from 2016 to 2023. E) Predicted number of HPAI H5
- 110 poultry farm outbreaks in France and in heavily affected, non-vaccinating European countries.
- 111 Horizontal black lines represent the corresponding 95% prediction interval. Red stars
- represent the observed number of HPAI H5 poultry farm outbreaks in each country in 2023-
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145 Authors' contributions

- 146 Study design: C.G., L.F., T.V. Data resources: L.F. Data analysis: C.G., L.F., E.M. Analysis
- 147 guidance: S.L., T.V. Manuscript preparation: C.G. Review and approval of final manuscript:
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150 **Competing interests**

- 151 The authors declare no competing interests.
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